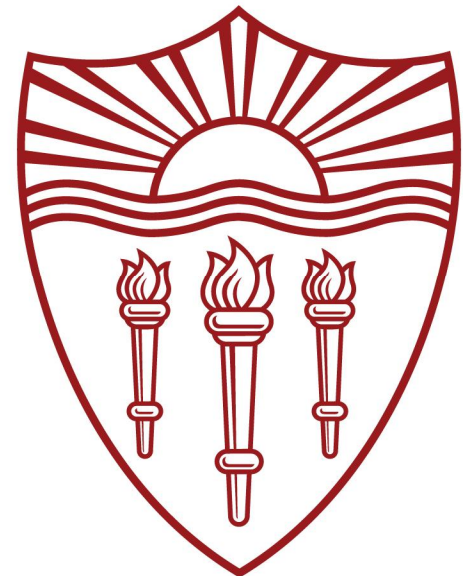


# Cyclic Morphosyntax Meets Parallel Phonology

2016 LSA Annual Meeting  
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Washington, D.C.

Peter Ara Guekguezian

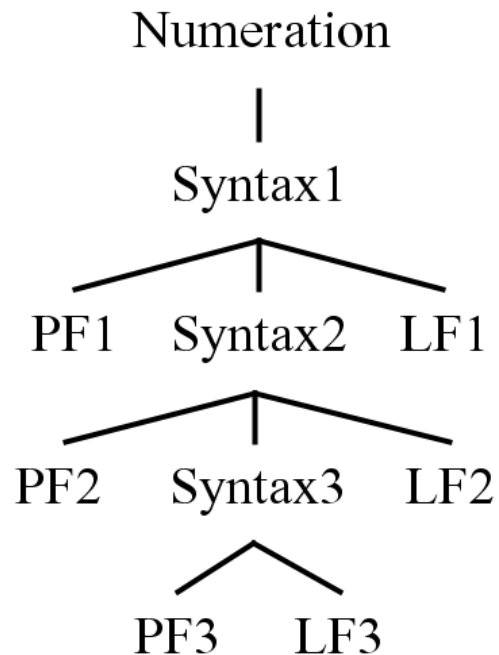
*Department of Linguistics,  
University of Southern California*



# Cyclic Morphosyntax ...

- Syntax builds words and sentences cyclically = in **discrete chunks** (Ross 1967, Chomsky 1973, *inter alia*)
- **Discrete chunks** sent to **interfaces** with sound (phonology) and meaning (semantics)
- Question: How do the **interfaces** (specifically phonology) maintain these **discrete chunks**?
  - How are discrete chunks from syntax reflected in phonology?

# Cyclic Morphosyntax ...



Multiple spellout of  
**discrete chunks** to  
**interfaces**

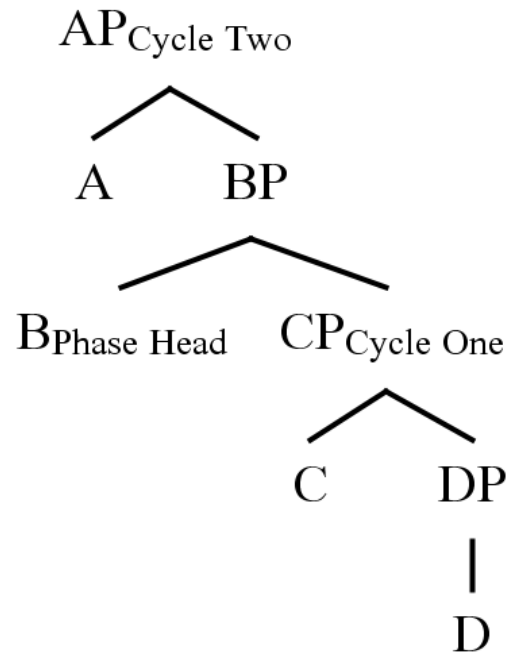
(Uriagereka 1999, Chomsky 2000,  
2001, Nissenbaum 2000)

## ... Meets Parallel Phonology

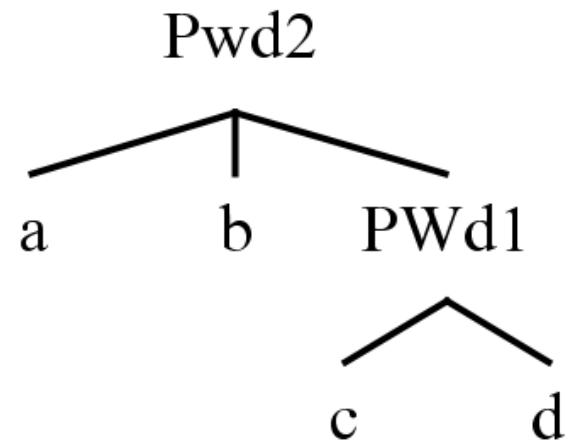
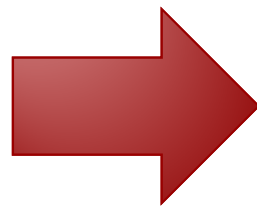
- Claim 1: Phonology reflects discrete chunks as **recursive prosodic structure**
- Claim 2: Phonology evaluates recursive structure in **one whole chunk**
  - Rather than evaluating each discrete chunk in turn

# ... Meets Parallel Phonology

Cyclic Morphosyntax



Parallel Phonology



# Competing Models: Serial vs. Parallel Phonology

# Phonology: Parallel or Serial?

- Longstanding disagreement over whether phonological derivation is **parallel** or **serial** (Kiparsky 1973, 1982, Mascaró 1976, Prince & Smolensky 1993/2004, McCarthy 2008, *inter alia*)
- **Parallel Derivation:**
  - Initial input → Final output
- **Serial Derivation:**
  - Initial input → Intermediate Steps → Final output
  - Intermediate steps = output of previous step, input of next step
  - E.g., Stratal OT (Kiparsky 2000, Bermudez-Otero 2011)

# Cyclic Morphosyntax → Phonology

- Two possible models of sending discrete chunks from morphosyntax to phonology
- **Model One: Serial Phonology**  
(e.g., Marvin 2002, Newell 2008, Samuels 2010)
  - Phonology operates on **each chunk sent by syntax in turn**
- **Model Two: Parallel Phonology**  
(e.g., Cheng & Downing 2012)
  - Once all chunks from syntax are sent, phonology operates on **all of them at once**
  - Multiple chunks = **recursive prosody**



# Comparison of Two Models

## Cyclic Morphosyntax → **Serial Phonology**

1. Syntax sends Cycle 1 =  $PWd_1$
2. **Phonology evaluates  $PWd_1$**
3. Syntax sends Cycle 2 =  $PWd_2$
4. **Phonology evaluates  $PWd_2$**

## Cyclic Morphosyntax → **Parallel Phonology**

1. Syntax sends Cycle 1 =  $PWd_1$
2. Syntax sends Cycle 2 =  $PWd_2$
3. **Phonology evaluates  $PWd_1$  &  $PWd_2$**

# Evidence for Parallel Phonology: Chukchansi Yokuts Templates

Part 1: (L'H) Iambs

# Chukchansi = Parallel Phonology

- Stress & fixed templates in Chukchansi Yokuts favor second model
  - Cyclic Morphosyntax → **Parallel Phonology**
- **Serial phonology** predicts wrong form for (L'H) template (= internal PWd)
  - Non-final stress **at all stages** → no (L'H) PWd
- **Parallel phonology** predicts correct form for template
  - Non-final stress applies **once** → internal PWd can be (L'H)

# Chukchansi Stress: iambs ...

- Chukchansi Stress = Iambs + Non-finality  
(Guekguezian to appear)
- Stress = penultimate + heavy pre-penultimate
- Can be modeled by **Left-to-Right Iambs**
- Heavy Penults – Iambs: (**'H**)σ, (**L'H**)σ
  - [('pa:).ya] ‘child’-ACC
  - [('bok').to?] ‘had found’
  - [(he.'je:).ma] ‘long ago’
  - [(ʃi.'ja:).lat] ‘just made cut’
  - [(ʔa.'le:).(dʒa.'law).ʃit] ‘just made oneself crazy’

## ... with Trochaic Reversal

- No word-final stress → stress on light penults

- **Trochaic reversal**

(Prince and Smolensky 1993/2004, McCarthy and Prince 1993b)

- Light Penults – Final (**Lσ**) Trochee

[('pi.fɪt)]

‘just lit’

[('jun).('fu.nʌt)]

‘just shook’

[('tʃe:).('xa.ʔan)]

‘dog-ACC’

[(li.'him).('wi.feʔ)]

‘will run with each other’

[('le:).('leʔ).('hi.jɑw)]

‘at school’

# Iambs, not Trochees

- With trochaic reversal, trochaic stress may seem better fit for Chukchansi
- However, iambs = better model than trochees
- Evidence:
  - Vowel epenthesis
  - Lexical root inventory
  - Templatic morphology

# iambs, not Trochees

- Vowel epenthesis: /VCCCCV/ → [V.C*i*C.CV]
  - Epenthetic vowel positioned to create good iambs
  - /lihm+ta?/ ‘run’-REM.PAST → (li.'*h*im).ta? – **IAMB**
  - Not \*('lih)('*m*i.ta?) – \***TROCHEE**
- Lexical Root Inventory: Skew away from roots parsed into two initial Light syllables
  - **LL Roots**: fewer observed than expected
  - **HL, LH Roots**: more observed than expected
  - LL = Poor **Iamb** but Good **Trochee**  
(Prince 1990, Kager 1993, Hayes 1995)

# Templates in Chukchansi

- Templatic morphology: more evidence for **iamb**s
- Templatic forms have **recursive prosodic structure** (Guekguezian 2015)
- Roots with one underlying vowel get fixed **(L'H)** template
  - No matter what their UR
- Templates triggered by several suffixes  
(e.g., CAUSATIVE /-la-/, /-e-/)
- If no triggering suffix → no fixed template
- Atemplatic shapes = predictable phonotactics



# Templatic vs. Atemplatic Forms

Root UR	Templatic Form: (L'H)	Atemplatic Form
/wan/ 'give'	(wa.'na:)-la-t	('wa.n-it)
/ʧiʃ/ 'cut'	(ʧi.'ʃa:)-la-t	('ʧi.ʃ-it)
/ma:x/ 'collect'	(ma.'xa:)-la-t	('ma:).x-it
/ʃawg/ 'buy'	(ʃa.'wa:).g-e-t	('ʃaw).g-it
/be:wn/ 'sew'	(be.'we:).n-e-t	('bew).n-it

# Evidence for Parallel Phonology: Chukchansi Yokuts Templates

Part 2: Cyclic Morphosyntax = PWd  
Recursion

# Templates = Cyclic Morphosyntax

- Templates triggers send roots to phonology early  
= **syntactically cyclic**
- Syntactically cyclic = phase heads  
(Chomsky 2000, 2001)
- Relevant phase head = **active little *v***  
(Chomsky 2000, 2001, Marantz 2001, Den Dikken 2007)
- Active little *v* sends complement (= verb root in VP) to phonology

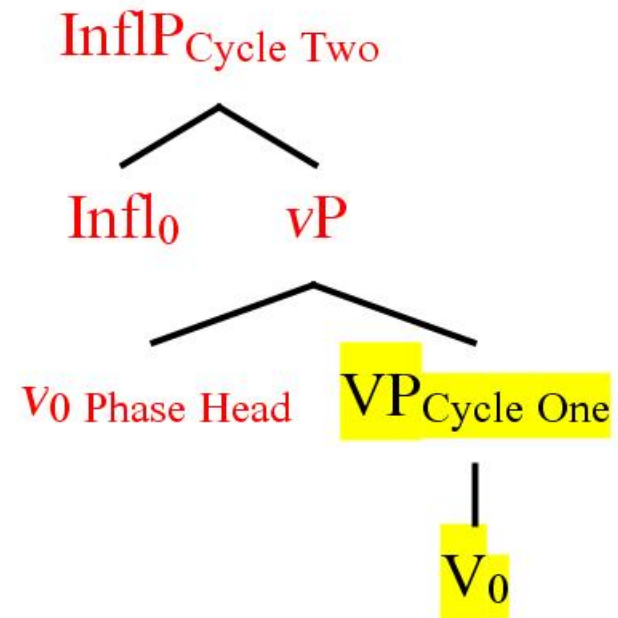
# Templates = Cyclic Morphosyntax

Cycle One:

**VP** spelled out by  $v_0$

Cycle Two:

**InflP** spelled out



# Template Triggers: Active Little *v*

- Active little *v* has semantics of **agenthood, initiation, dynamicity**

(Burzio 1986, Harley 1995, Kratzer 1996)

- Active little *v* triggers:

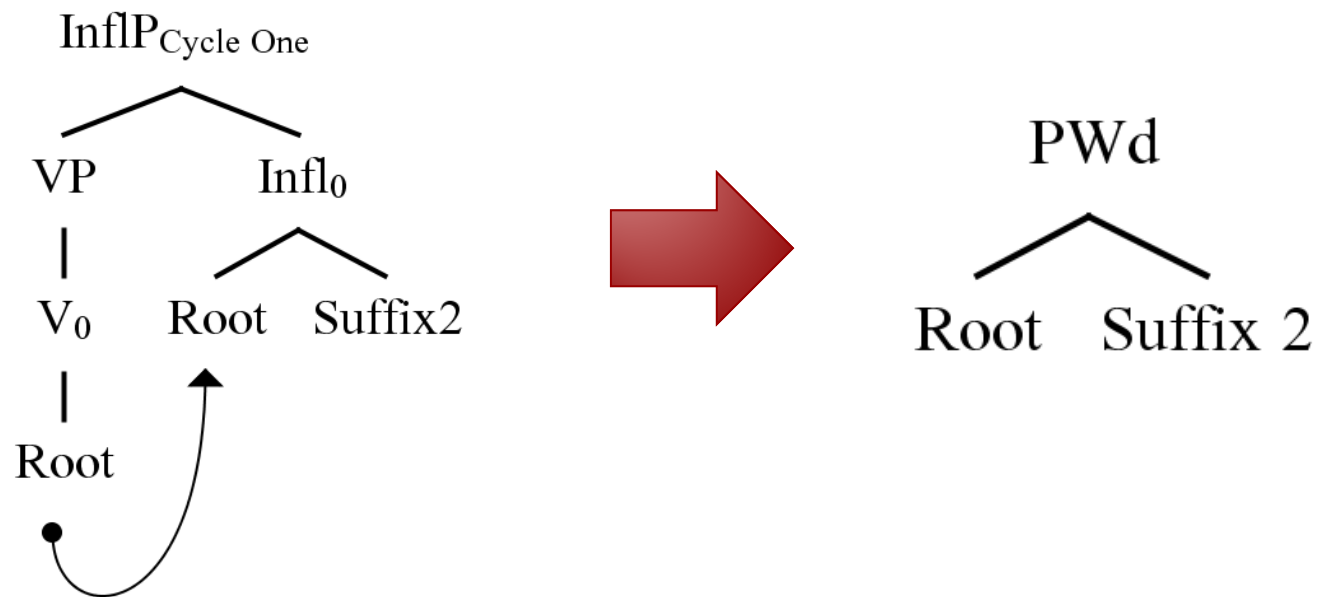
- Causative	/-la-/, /-e-/
- Inchoative	/-a-/
- Durative	/-ʔa-/
- Distributive	/-a-/, /-e-/
- Agentive Nominal ( <i>v</i> + <i>n</i> )	/-tʃ-/
- Adjunctive Nominal ( <i>v</i> + <i>n</i> )	/-ʔhij-/

# Syntax Matching Phonology

- General **correspondence** between syntactic “words” ( $X_0$ ) and phonological “words” (PWd)
- Modeled by **Match** constraints (Selkirk 2009, 2011)
  - $X_0 \approx \text{PWd}$
- **Head movement** forms single  $X_0$  out of multiple  $X_0$ s (Travis 1984)
  - Lower  $X_0$  adjoins to higher  $X_0$
  - Multiple adjoined  $X_0$ s matched to single PWd

# Atemplatic Verb

- Chukchansi: Root head-moves to Infl<sub>0</sub>, spelled out together with Suffix2 in Cycle One
- Root + Suffix2 = Single PWd



# Cyclic Syntax = Recursive Phonology

- Proposal: Complex  $X_0$  spans syntactic cycles = matched to **recursive PWd**
- Due to copy theory of movement (Chomsky 1993)
  - Highest copy in cycle spelled out (Nunes 2004)
- Chukchansi: verb root spelled out twice
  - Lower copy: Cycle One (VP)
  - Higher head-moved copy: Cycle Two (InflP)

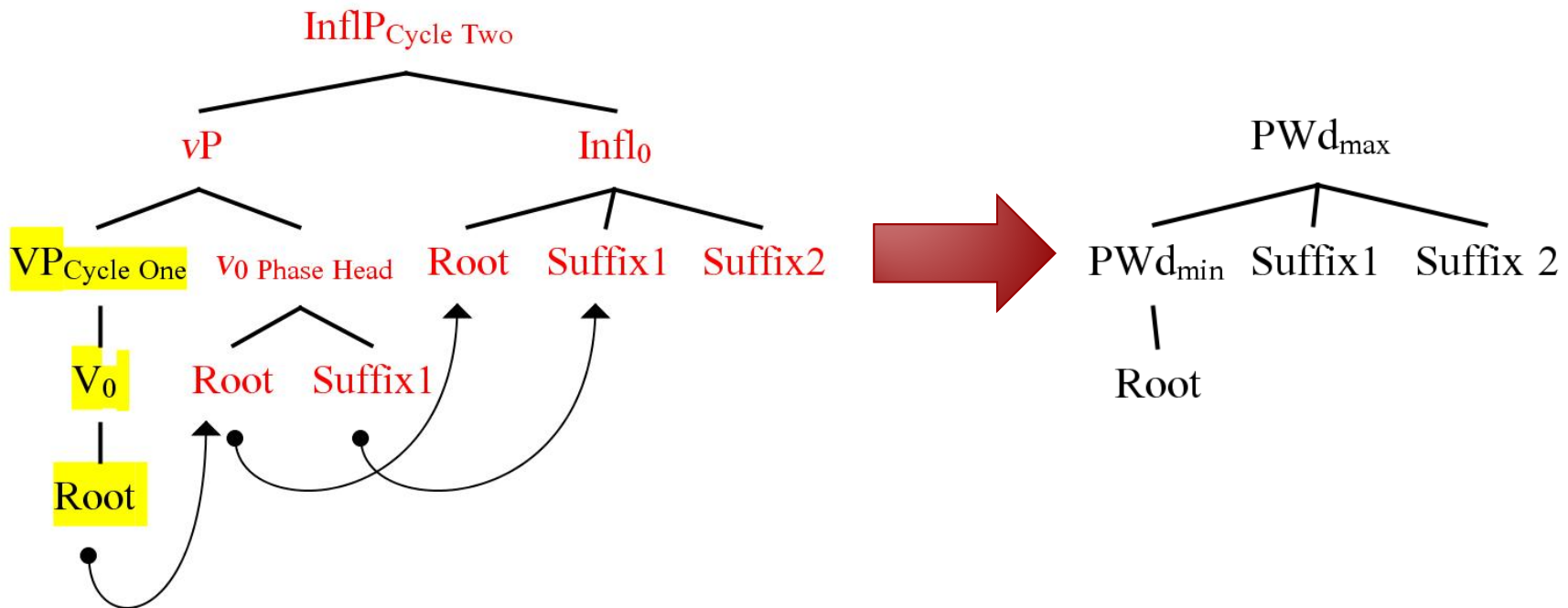


# Cyclic Syntax = Recursive Phonology

- Claim:  $X_0$  argument of Match constraint = defined by **spellout domains**
- **Two** copies of root in **two** different  $X_0$ s = PWds
- Chukchansi: only **one** exponent of root inserted
- One exponent in two PWds = **PWd recursion**

# Cyclic Syntax = Recursive Phonology

- Copies of root spelled out in Cycles One & Two
- Exponent of root in  $PWd_{\min}$  &  $PWd_{\max}$



# Evidence for Parallel Phonology: Chukchansi Yokuts Templates

Part 3: Non-Final Stress → Parallelism

# Templates = Minimality + Prosody

- Templates: one-vowel roots → LH when they form PWd<sub>min</sub>
- Template = **minimality** effect: disyllabic PWds
- One-vowel roots augmented to disyllables
- Epenthetic material → forms **optimal** disyllable
- Optimal disyllable in Chukchansi: **(L' H) Iamb**
  - Also reflected in stress, epenthesis, lexical root inventory

# Problem: Non-final Stress

- Template = internal PWd (PWd<sub>min</sub>) with emergent (L'H) shape
- BUT: disyllabic words cannot have final stress
  - [( 'Lσ)]<sub>PWd</sub> , not \*[(L'H)]<sub>PWd</sub>
  - [( ' **pi**.fit)]<sub>PWd</sub> vs. \*[(pi. ' **fit**)]<sub>PWd</sub>
- Problem:
  - Non-final stress **allowed** in PWd<sub>min</sub>
  - Non-final stress **avoided** in PWd<sub>max</sub>

# Solution: Recursion-Sensitivity

- Phonological processes can be sensitive to level of recursive prosody (maximal vs. non-maximal)
  - Including OT constraints (Itô & Mester 2012, Elfner 2015)
- **Non-final stress** only applies to **PWd<sub>max</sub>**
  - NONFINALITY(PWd<sub>Max</sub>): assign a violation mark for a stressed syllable at the end of a maximal PWd (see Prince & Smolensky (1993/2004) for NONFINALITY(PWd))

# Parallel vs. Serial

- No  $PWd_{\max}$ -final stress: works for **parallel** derivation, not **serial** derivation
- **Parallel:** both PWds evaluated simultaneously
  - /Root+Suffices/ →  
[[**Root**] $_{PWd_{\min}}$ +Suffices] $_{PWd_{\max}}$
  - Non-final stress **avoided** in Root PWd ( $PWd_{\min}$ )
- **Serial:** Each PWd evaluated once sent by syntax
  - /Root/ → [**Root**] $_{PWd_{\max}}$  + /Suffices/ →  
[[Root] $_{PWd_{\min}}$ +Suffices] $_{PWd_{\max}}$
  - Non-final stress **applies** in Root PWd ( $PWd_{\max}$  in first cycle)

# Parallel vs. Serial

- Example: cyclic input /wan+la<sub>CYCLIC</sub>+it/
- **Parallel:** /wan+la+it/ →  
[[**(wa.'na:)**]<sub>PWdmin</sub> lat]<sub>PWdmax</sub>
- **Serial:** /wan/ → [**('wa.na)**]<sub>PWdmax</sub> + /la+it/ →  
[[**('wa.na)**]<sub>PWdmin</sub> lat]<sub>PWdmax</sub>
- **Serial stipulation:** final stress allowed at first cycle
  - /wan/ → [**(wa.'na:)**]<sub>PWdmax</sub>



# Problem with Serial Account

- Serial account must **divide** stress rules
- Non-final stress cannot apply **at first cycle**
  - Must only apply at second, final cycle
- Other stress rules apply **at all cycles**
  - Iambic parsing, disyllabic minimality
- In OT terms: NONFINALITY(PWd<sub>Max</sub>) has different ranking at different cycles
  - **Sacrifices unity of grammar**

# Parallel Derivation: Templatic Verb

- Templatic Verb:  $[[[(L'H)]_{PWdmin} \sigma \dots ]_{PWdmax}]$
- $/wan-la_{CYCLIC-it}/ \rightarrow [[(wa.'na:) ]_{PWdmin} lat]_{PWdmax}$

$/wan-la_{CYCLIC-it}/$	DISYLL	NONFINALITY ( $PWd_{Max}$ )	LAMB
$\rightarrow [[(wa.'na:) ]_{PWdmin} lat]_{PWdmax}$			
$[[('wa.na) ]_{PWdmin} lat]_{PWdmax}$			1 W
$[[('wan) ]_{PWdmin} lat]_{PWdmax}$	1 W		

# Parallel Analysis: Atemplatic Verb

- Atemplatic Verb  $[(\text{'L}\sigma)]_{\text{PWdmax}}$
- /wan-it/  $\rightarrow [(\text{'wa.n-it})]_{\text{PWdmax}}$

/wan-it/	DISYLL	NONFINALITY (PWd <sub>Max</sub> )	IAMB
☞ $[(\text{'wa.n-it})]_{\text{PWdmax}}$			1
$[(\text{wa.'n-it})]_{\text{PWdmax}}$		1 W	L

# Serial Analysis: Problem

- Templatic Verb: \*[[('LL)]<sub>PWdmin</sub> ... ]<sub>PWdmax</sub>
- /wan/ → [( 'wa.na)]<sub>PWdmax</sub> + /-la<sub>CYCLIC</sub>-it/ → \*[[('wa.na)]<sub>PWdmin</sub> lat]<sub>PWdmax</sub>

<b>/wan/</b>	<b>DISYLL</b>	<b>NONFINALITY (PWd<sub>Max</sub>)</b>	<b>LAMB</b>
💣 [( 'wa.na)] <sub>PWdmax</sub>			1
☹ [(wa. 'na:)] <sub>PWdmax</sub>		1 W	L
[( 'wan)] <sub>PWdmax</sub>	1 W		

# Summary

# Cyclic Morphosyntax & Parallel Phonology

- Claim 1: Word with Cyclic Morphosyntax = **Recursive PWds**
  - Each discrete chunk from syntax = PWd
- Claim 2: Recursive PWds evaluated in **parallel**, not serially
  - Supports Cheng & Downing (2012), contra, e.g., Marvin (2002), Newell (2008), Samuels (2010)

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# Further Details

# Phonotactics and Atemplatic Forms

- Shape of atemplatic forms: depends on whether following suffix is Consonant- or Vowel-initial
- Can trigger vowel shortening or *vowel epenthesis*

Root UR	C-initial /-ta?/	V-initial /-it/
/wan/ 'give'	('wan).-ta?	('wa.n-it)
/tʃɪʃ/ 'cut'	('tʃɪʃ).-ta?	('tʃɪ.ʃ-it)
/ma:x/ 'collect'	('m <u>a</u> x).-ta?	('ma:).x-it
/ʃawg/ 'buy'	(ʃa.'w <u>i</u> g).-ta?	('ʃaw).g-it
/be:wn/ 'sew'	('be:).('w <u>i</u> n).-ta?	('b <u>e</u> w).n-it

# Transitive Verbs = Atemplatic

- Problem: transitive verbs have active little  $v$ , but no template
- Proposal: transitive little  $v$  = subject to Domain Suspension (Bobaljik & Wurmbrand 2013) = doesn't act like phase
  - Transitive little  $v$  forms unit with root at PF & LF
    - Unit = phonological form and semantic meaning not compositional (see Marantz 2001)
  - $\{v + \sqrt{\quad}\}$  = unit at interfaces  $\rightarrow v$ 's phasehood suspended

# Transitive Verbs = Atemplatic

- PF Evidence: different URs of nominalized ( $n + \sqrt{\quad}$ ) and verbalized ( $v + \sqrt{\quad}$ ) roots
- LF Evidence: non-compositional meaning of lexical root and categorizers

Nominalized Root ( $n + \sqrt{\quad}$ )	Verbalized Root ( $v + \sqrt{\quad}$ )	Unit @
/so:nop/ 'snot'	/sonp'/ 'be snotty'	PF
/gadya/ 'hungry'	/gada:y/ 'be hungry'	PF
/je:ʔal/ 'rain' (n.)	/jeʔe:l/ 'rain' (v.)	PF
/hoy'no/ 'plane'	/hoy'n/ 'fly'	PF, LF
/dehel'/ 'scissors'	/dihl'/ 'cut with scissors'	PF, LF
/balk'/ 'stomach'	/balk'/ 'become full'	LF